



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Claussen et al.

Serial No.: 09/409,598

Filing Date: 09/30/1999

For: Extensible Markup Language (XML)
Server Pages Having Custom Document
Object Model (DOM) Tags

§ Group Art Unit: 2176

§ Examiner: Bieneman, C.

§ Attorney Docket No.: AT9-99-412

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By: 

Joseph R. Burwell, Reg. No 44,468

TRANSMITTAL DOCUMENT

Transmitted herewith are the following:

- ☒ Information Disclosure Statement (IDS);
- ☒ Form PTO-1449;
- ☒ Copy of references for accompanying IDS (26);
- ☒ A self-addressed, stamped postcard to be returned to sender.

DATE: July 7, 2003

Respectfully submitted,


Joseph R. Burwell

Reg. No. 44,468

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

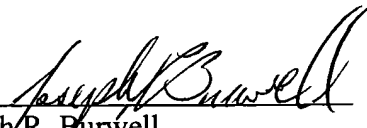
This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c) after the mailing date of a first action on the merits but before the mailing of a final action or before the mailing of a notice of allowance. Please charge IBM Corporation Deposit Account No. 09-0447 in the amount \$180.00 to cover the fee as set forth in 37 C.F.R. § 1.17(p). Any deficiency or overpayment should be charged or credited to the above numbered deposit account.

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Date: July 7, 2003

Respectfully submitted,



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Form PTO-1449

**LIST OF PRIOR ART CITED
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ATTORNEY DOCKET NO.

AT9-99-412

SERIAL NO.

09/409,598

APPLICANT

Claussen et al.

FILING DATE

09/30/1999

GROUP ART UNIT

2176

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	US 6,347,307 B1	02/12/2002	Sandhu et al.		
	US 6,381,743 B1	04/30/2002	Mutschler, III		
	6,014,680	01/11/2000	Sato et al.		
	US 6,418,446 B1	07/09/2002	Lection et al.		
	US 6,480,865 B1	11/12/2002	Lee et al.		

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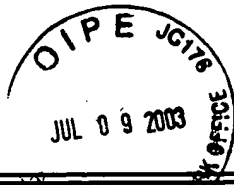
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			APPLICANT Claussen et al.			
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		World Wide Web Consortium (W3C), "Namespaces in XML", W3C Recommendation 14 January 1999, www.w3.org.				
		"XML-Based Templates for Generating Artifacts from Java-Based Models", RD 416103, <i>Research Disclosure</i> , p. 1678, December 1998.				
		"Parameterized XSL Style Sheets", RD 423110, <i>Research Disclosure</i> , p. 1009, July 1999.				
		"Converting HTML to Well Formed XML With Preference Based Tag Expansion", RD 423111, <i>Research Disclosure</i> , p. 1011, July 1999.				
		World Wide Web Consortium (W3C), "HTML 4.0 Specification", W3C Recommendation 24 April 1998, www.w3.org, pp. 238-240.				
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		Kung, "The Next Generation Web Servers", MU-SPIN Ninth Annual Users' Conference, 09/27/1999			
		Sundsted, "Java Makes the most of XML", <i>JavaWorld Magazine</i> , July 1999.			
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		Hildyard, "An XML Document to JavaScript Object Converter", <i>Web Techniques</i> , v. 4, n. 1, pp. 63-69, 1999.			
		Gardner, "Open-source application server enters the fray", <i>InfoWorld</i> , 04/14/1999.			
		Wood, "The Web Document API", SoftQuad, Inc., 1999.			
		Fields, "Java Servlets for JavaScripters", http://web.archive.org , 01/1999.			
		Lie et al., "Multipurpose Web Publishing Using HTML, XML, and CSS", <i>Comm. of the ACM</i> , v. 42, n. 10, October 1999.			
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